

Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE ARIEL FOUNDATION		A Employer identification number 27-0226408	
Number and street (or P O box number if mail is not delivered to street address) 101 EAST GAMBIER STREET		B Telephone number (see instructions) (740) 392-0364	
City or town, state or province, country, and ZIP or foreign postal code MOUNT VERNON, OH 43050		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input type="checkbox"/> \$ 31,235,159	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	10,175,139			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	88,952	88,952		
	4 Dividends and interest from securities.	289,876	289,876		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,114,845			
	b Gross sales price for all assets on line 6a 12,864,302				
	7 Capital gain net income (from Part IV, line 2) . . .		1,114,845		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 8,667	8,667		
	12 Total. Add lines 1 through 11	11,677,479	1,502,340		
	13 Compensation of officers, directors, trustees, etc	29,850	0		29,850
	14 Other employee salaries and wages	481	0		481
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).	 1,280	0		0
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)	 164,104	164,104		0
	17 Interest	16	16		0
	18 Taxes (attach schedule) (see instructions)	 36,113	6,578		2,315
	19 Depreciation (attach schedule) and depletion . . .	 4,518	0		
	20 Occupancy				
	21 Travel, conferences, and meetings	2,508	0		2,508
	22 Printing and publications				
	23 Other expenses (attach schedule).	 6,896	0		6,896
	24 Total operating and administrative expenses. Add lines 13 through 23	245,766	170,698		42,050
	25 Contributions, gifts, grants paid	4,707,729			4,707,729
	26 Total expenses and disbursements. Add lines 24 and 25	4,953,495	170,698		4,749,779
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	6,723,984			
	b Net investment income (if negative, enter -0-)		1,331,642		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
					(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			19,757	186,198	186,198
	2	Savings and temporary cash investments			5,769,108	3,548,229	3,548,229
	3	Accounts receivable ▶ <u>17,210</u>					
		Less allowance for doubtful accounts ▶ _____				17,210	17,210
	4	Pledges receivable ▶ _____					
		Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____					
		Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges				21,324	21,324
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)			16,853,063	27,446,385	27,446,385
	c	Investments—corporate bonds (attach schedule).					
	11	Investments—land, buildings, and equipment basis ▶ _____					
Liabilities		Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)					
	14	Land, buildings, and equipment basis ▶ <u>31,626</u>					
		Less accumulated depreciation (attach schedule) ▶ <u>15,813</u>			20,331	15,813	15,813
	15	Other assets (describe ▶ _____)					
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)			22,662,259	31,235,159	31,235,159
	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22)			0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
		and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
		and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds			0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			0	0	
	29	Retained earnings, accumulated income, endowment, or other funds			22,662,259	31,235,159	
	30	Total net assets or fund balances (see page 17 of the instructions)			22,662,259	31,235,159	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)			22,662,259	31,235,159	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	22,662,259
2	Enter amount from Part I, line 27a	2	6,723,984
3	Other increases not included in line 2 (itemize) ▶ _____	3	1,857,268
4	Add lines 1, 2, and 3	4	31,243,511
5	Decreases not included in line 2 (itemize) ▶ _____	5	8,352
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	31,235,159

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,114,845
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,413,251	14,669,309	0.096341
2011	986,937	10,688,521	0.092336
2010	280,840	5,146,717	0.054567
2009	0	205,210	0.000000
2008			

2 Total of line 1, column (d).	2	0.243244
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.060811
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	19,701,539
5 Multiply line 4 by line 3.	5	1,198,070
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	13,316
7 Add lines 5 and 6.	7	1,211,386
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	4,749,779


Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	13,316
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3 Add lines 1 and 2.		3	13,316
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	13,316
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	34,640	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d.		7	34,640
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	21,324
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 21,324 Refunded		11	0

Part VII-A

Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> OH _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> 	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶N/A	13	Yes	
14	The books are in care of ▶JANET L REYNOLDS Telephone no ▶(740) 392-0364 Located at ▶101 EAST GAMBIER STREET MOUNT VERNON OH ZIP +4 ▶43050			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.				<input checked="" type="checkbox"/>
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		<input type="checkbox"/> Yes	<input type="checkbox"/> No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KAREN BUCHWALD WRIGHT	DIRECTOR,	0	0	0
35 BLACKJACK ROAD	PRESIDENT, CHAIR			
MOUNT VERNON, OH 43050	1 00			
THOMAS RASTIN	DIRECTOR, V PRES	0	0	0
35 BLACKJACK ROAD	V CHAI			
MOUNT VERNON, OH 43050	1 00			
JANET L REYNOLDS	DIRECTOR,	29,850	0	0
1424 GREENBRIER DRIVE	SECRETARY, TREAS			
MOUNT VERNON, OH 43050	20 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

Part IX-A Summary of Direct Charitable Activities

Expenses

Part IX-B Summary of Program-Related Investments (see instructions)

Amount

[illegible]

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	19,804,913
b	Average of monthly cash balances.	1b	196,649
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	20,001,562
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	20,001,562
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	300,023
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	19,701,539
6	Minimum investment return. Enter 5% of line 5.	6	985,077

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	985,077
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	13,316
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	13,316
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	971,761
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	971,761
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	971,761

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	4,749,779
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,749,779
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	13,316
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,736,463
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				971,761
2	Undistributed income, if any, as of the end of 2013				
a	Enter amount for 2012 only.			0	
b	Total for prior years 20____, 20____, 20____		0		
3	Excess distributions carryover, if any, to 2013				
a	From 2008.				
b	From 2009.				
c	From 2010.				58,105
d	From 2011.				455,979
e	From 2012.				749,006
f	Total of lines 3a through e.	1,263,090			
4	Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 4,749,779				
a	Applied to 2012, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see instructions).		0		
c	Treated as distributions out of corpus (Election required—see instructions).	0			
d	Applied to 2013 distributable amount.				971,761
e	Remaining amount distributed out of corpus	3,778,018			
5	Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,041,108			
b	Prior years' undistributed income Subtract line 4b from line 2b.		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d	Subtract line 6c from line 6b Taxable amount—see instructions		0		
e	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9	Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	5,041,108			
10	Analysis of line 9				
a	Excess from 2009. . . .				
b	Excess from 2010. . . .				58,105
c	Excess from 2011. . . .				455,979
d	Excess from 2012. . . .				749,006
e	Excess from 2013. . . .				3,778,018

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2013)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	4,707,729
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes

No

a

Transfers from the reporting foundation to a noncharitable exempt organization of

(1)

Cash.

1a(1)

No

(2)

Other assets.

1a(2)

No

b

Other transactions

(1)

Sales of assets to a noncharitable exempt organization.

1b(1)

No

(2)

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

(3)

Rental of facilities, equipment, or other assets.

1b(3)

No

(4)

Reimbursement arrangements.

1b(4)

No

(5)

Loans or loan guarantees.

1b(5)

No

(6)

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2014-05-12

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name
MARY ELIZABETH WRIGHT CPA

Preparer's Signature

Date

Check if self-employed ☐

PTIN
P00099212

Firm's name

REA & ASSOCIATES INC

Firm's EIN

34-1310124

Firm's address

941 STEUBENVILLE AVE PO BOX 820
CAMBRIDGE, OH 437250820

Phone no

(740) 432-5658

Form 990-PF (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JP MORGAN		2013-11-26	2013-11-30
JP MORGAN		2013-05-09	2013-05-31
GOLDMAN SACHS - MUTUAL FUNDS		2013-01-01	2013-04-09
GOLDMAN SACHS - MUTUAL FUNDS		2012-01-01	2013-04-09
GOLDMAN SACHS - GSAM LCV (CONC) ACCOUNT		2013-01-01	2013-12-31
GOLDMAN SACHS - GSAM LCV (CONC) ACCOUNT		2012-01-01	2013-12-31
GOLDMAN SACHS - GSAM LCG (CONC) ACCOUNT		2013-01-01	2013-12-31
GOLDMAN SACHS - GSAM LCG (CONC) ACCOUNT		2012-01-01	2013-12-31
GOLDMAN SACHS - LORD, ABBETT & CO NON-US EQUITIES		2013-01-01	2013-12-31
GOLDMAN SACHS - LORD, ABBETT & CO NON-US EQUITIES		2012-01-01	2013-12-31
GOLDMAN SACHS - GANNETT WELSH & KOTLER SC CORE		2013-01-01	2013-12-31
GOLDMAN SACHS - GANNETT WELSH & KOTLER SC CORE		2012-01-01	2013-12-31
GOLDMAN SACHS - GS TACTICAL EQUITY		2012-07-02	2013-12-31
GOLDMAN SACHS - GS TACTICAL EQUITY		2012-01-01	2013-12-31
GOLDMAN SACHS - YACKTMAN DYNAMIC EQUITY		2013-01-01	2013-12-31
GOLDMAN SACHS - YACKTMAN DYNAMIC EQUITY		2012-01-01	2013-12-31
GOLDMAN SACHS - SHAPIRO DYNAMIC		2013-01-01	2013-12-31
GOLDMAN SACHS - SHAPIRO DYNAMIC		2012-01-01	2013-12-31


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
120,178		122,715	-2,537
5,155,284		5,036,852	118,432
79,323		77,523	1,800
1,220,945		1,189,544	31,401
1,324,943		1,204,495	120,448
505,660		378,537	127,123
306,490		253,687	52,803
932,503		744,684	187,819
1,018,877		932,975	85,902
521,586		456,650	64,936
15,612		13,466	2,146
143,251		101,308	41,943
144,483		130,708	13,775
368,221		334,801	33,420
24,616		16,072	8,544
259,593		188,196	71,397
115,407		109,033	6,374
607,330		458,211	149,119


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,537
			118,432
			1,800
			31,401
			120,448
			127,123
			52,803
			187,819
			85,902
			64,936
			2,146
			41,943
			13,775
			33,420
			8,544
			71,397
			6,374
			149,119

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN RED CROSS OF KNOX COUNTY 300 NORTH MULBERRY STREET MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	LIFEGUARD PROJECT GRANT	1,086
CITY OF MOUNT VERNON 40 PUBLIC SQUARE MOUNT VERNON,OH 43050	N/A	115-GOVERNMENTAL ENT	TREE PLANTING PROGRAM	26,500
CITY OF MOUNT VERNON 40 PUBLIC SQUARE MOUNT VERNON,OH 43050	N/A	115-GOVERNMENTAL ENT	BOW STRING TRUSS BRIDGE REHAB AND RELOCATION	45,000
CITY OF MOUNT VERNON 40 PUBLIC SQUARE MOUNT VERNON,OH 43050	N/A	115-GOVERNMENTAL ENT	TREE PLANTING PROJECT	24,545
THE FREEDOM CENTER 106 E GAMBIER STREET MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	IT UPGRADE PROJECT - ELECTRONIC HEALTH RECORDS	12,000
FOUNDATION PARK CONSERVANCY PO BOX 469 MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	COLUMBUS ROAD PROPERTY ACQUISITION	445,000
FOUNDATION PARK CONSERVANCY PO BOX 469 MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	ARIEL-FOUNDATION PARK DEVELOPMENT	1,000,000
FOUNDATION PARK CONSERVANCY PO BOX 469 MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	PPG PLANT ACQUISITION	1,750,000
KNOX COUNTY ART LEAGUE 3 NORTH MAIN STREET MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	HELP-PORTRAIT/ART IN ACTION	2,000
KNOX COUNTY HISTORICAL SOCIETY 875 HARCOURT RD MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	DAN EMMETT HOUSE REPLASTERING PROJECT	1,600
HABITAT FOR HUMANITY OF KNOX COUNTY 200 NORTH MAIN ST MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	MADISON AVENUE PROPERTY PURCHASE	15,000
INTERCHURCH SOCIAL SERVICES OF KNOX COUNTY INC 306 WEST GAMBIER STREET MOUNT VERNON,OH 43050	N/A	CHURCH ORGANIZATION	INTERCHURCH PHONE SYSTEM	4,460
KNOX COUNTY EDUCATION SERVICE CENTER 308 MARTINSBURG ROAD MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	SCHOLARSHIPS FOR 2013 SUMMER HONORS INSTITUTE	3,000
KNOX COUNTY EDUCATION SERVICE CENTER 308 MARTINSBURG ROAD MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	MENTORSHIP FOR LEADERSHIP PROGRAM	1,400
MOUNT VERNON CITY SCHOOL DISTRICT 300 NEWARK ROAD MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	USING LEGOS TO MODEL ENGINEERING PRACTICES IN NANOTECHNOLOGY	2,600
Total  3a				4,707,729

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
KOKOSING GAP TRAIL PO BOX 129 GAMBIER, OH 43022	N/A	501(C)(3) PUBLIC CHA	SEALING ASPHALT ON THE KOKOSING GAP TRAIL	44,316
KOKOSING GAP TRAIL PO BOX 129 GAMBIER, OH 43022	N/A	501(C)(3) PUBLIC CHA	KOKOSING GAP TRAIL TRACTOR AND MOWER	25,000
MOUNT VERNON CITY SCHOOL DISTRICT 300 NEWARK ROAD MOUNT VERNON, OH 43050	N/A	501(C)(3) PUBLIC CHA	LIBRARIES' SHORT TERM FUND	5,000
MOUNT VERNON NAZARENE UNIVERSITY 800 MARTINSBURG ROAD MOUNT VERNON, OH 43050	N/A	501(C)(3) PUBLIC CHA	NURSING SCHOLARSHIPS	20,000
MOUNT VERNON NAZARENE UNIVERSITY 800 MARTINSBURG ROAD MOUNT VERNON, OH 43050	N/A	501(C)(3) PUBLIC CHA	ESTHER BUCHWALD ART SCHOLARSHIPS	20,000
MOUNT VERNON CITY SCHOOL DISTRICT 300 NEWARK ROAD MOUNT VERNON, OH 43050	N/A	501(C)(3) PUBLIC CHA	FAST FORWARD EXPANSION	16,494
INVENT NOW INC 3701 HIGHLAND PARK NW NORTH CANTON, OH 44720	N/A	501(C)(3) PUBLIC CHA	CAMP INVENTION 2013	6,000
THE FOUNDATION OF KNOX COMMUNITY HOSPITAL 1330 COSHOCTON ROAD MOUNT VERNON, OH 43050	N/A	501(C)(3) PUBLIC CHA	ENHANCING ORTHOPEDIC SERVICES	100,000
THE VILLAGE NETWORK 17606 COSHOCTON ROAD MOUNT VERNON, OH 43050	N/A	501(C)(3) PUBLIC CHA	NO CHILD LEFT INSIDE	17,500
THE VILLAGE NETWORK 17606 COSHOCTON ROAD MOUNT VERNON, OH 43050	N/A	501(C)(3) PUBLIC CHA	TRAINING AND INTERVENTION PROGRAM	50,000
THE VILLAGE NETWORK 17606 COSHOCTON ROAD MOUNT VERNON, OH 43050	N/A	501(C)(3) PUBLIC CHA	KNOX COUNTY JUVENILE COURT INTERVENTIONS CLINICAL SERVICES	120,000
UNITED WAY OF KNOX COUNTY 110 EAST HIGH STREET MOUNT VERNON, OH 43050	N/A	501(C)(3) PUBLIC CHA	IMAGINATION LIBRARY EARLY LEARNING PROGRAM	3,000
NEWARK CAMPUS DEVELOPMENT FUND 25 E WALNUT STREET NEWARK, OH 43055	N/A	501(C)(3) PUBLIC CHA	NEXT GENERATION CHALLENGE	200,000
WOODWARD DEVELOPMENT CORP 107 SOUTH MAIN STREET MOUNT VERNON, OH 43050	N/A	501(C)(3) PUBLIC CHA	VARIOUS PROJECTS	250,000
YMCA OF MOUNT VERNON 103 NORTH MAIN STREET MOUNT VERNON, OH 43050	N/A	501(C)(3) PUBLIC CHA	EARLY CHILDHOOD EDUCATION	30,000
Total  3a				4,707,729

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
YMCA OF MOUNT VERNON 103 NORTH MAIN STREET MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	AQUATICS - SWIMMING LESSONS	30,000
YMCA OF MOUNT VERNON 103 NORTH MAIN STREET MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	AQUATICS - PUMP ROOM PROJECT	221,000
YMCA OF MOUNT VERNON 103 NORTH MAIN STREET MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	ANNUAL CAMPAIGN	15,000
OWL CREEK CONSERVANCY PO BOX 291 MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	CONSERVATION EASEMENTS IN THE UPPER KOKOSING WATERSHED	150,000
UNITED WAY OF KNOX COUNTY OHIO INC 110 EAST HIGH STREET MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	FINANCIAL STABILITY INITIATIVE	8,618
SCIENCE PLAY-SPACE INITIATIVE INC 227 S MAIN ST MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	SPI SPOT PHASE 4	19,000
SCIENCE PLAY-SPACE INITIATIVE INC 227 S MAIN ST MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	SUMMER FAMILY CONCERT SERIES AT THE CHILDREN'S GARDEN	2,610
WINTER SANCTUARY INC 100 E HIGH ST MOUNT VERNON,OH 43050	N/A	501(C)(3) PUBLIC CHA	MEN'S SHELTER RENOVATION	15,000
MIAMI UNIVERSITY 501 E HIGH ST OXFORD,OH 45056	N/A	501(C)(3) PUBLIC CHA	SCHOLARSHIPS	5,000
Total ➡ 3a				4,707,729

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.</div>	<div>OMB No 1545-0047</div> <div>2013</div>
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<div>Name of the organization</div> <div>THE ARIEL FOUNDATION</div>	<div>Employer identification number</div> <div>27-0226408</div>
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<div><input type="checkbox"/> 501(c)() (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div>
Form 990-PF	<div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE ARIEL FOUNDATION	Employer identification number 27-0226408
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<div>1</div>	<div>ARIEL CORPORATION</div> <div>35 BLACKJACK ROAD</div> <div>MOUNT VERNON, OH 43050</div>	<div>\$ 5,036,852</div>	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input checked="" type="checkbox"/></div> <div>(Complete Part II for noncash contributions)</div>
<div>2</div>	<div>TOM RASTIN</div> <div>1240 GAMBIER ROAD</div> <div>MOUNT VERNON, OH 43050</div>	<div>\$ 122,715</div>	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input checked="" type="checkbox"/></div> <div>(Complete Part II for noncash contributions)</div>
<div>3</div>	<div>KAREN BUCHWALD WRIGHT</div> <div>1240 GAMBIER ROAD</div> <div>MOUNT VERNON, OH 43050</div>	<div>\$ 5,015,572</div>	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input checked="" type="checkbox"/></div> <div>(Complete Part II for noncash contributions)</div>
<div></div>	<div></div> <div></div> <div></div> <div></div>	<div>\$</div>	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contributions)</div>
<div></div>	<div></div> <div></div> <div></div> <div></div>	<div>\$</div>	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contributions)</div>
<div></div>	<div></div> <div></div> <div></div> <div></div>	<div>\$</div>	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contributions)</div>
<div></div>	<div></div> <div></div> <div></div> <div></div>	<div>\$</div>	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contributions)</div>

Name of organization THE ARIEL FOUNDATION	Employer identification number 27-0226408
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
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Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: THE ARIEL FOUNDATION

EIN: 27-0226408

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
OFFICE FURNITURE	2010-07-01	31,626	11,295	SL	7 000000000000	4,518	0		

**TY 2013 Investments Corporate
Stock Schedule****Name:** THE ARIEL FOUNDATION**EIN:** 27-0226408

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MARKETABLE SECURITIES	27,446,385	27,446,385

TY 2013 Land, Etc. Schedule

Name: THE ARIEL FOUNDATION

EIN: 27-0226408

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
OFFICE FURNITURE	31,626	15,813	15,813	

TY 2013 Legal Fees Schedule

Name: THE ARIEL FOUNDATION

EIN: 27-0226408

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	1,280	0		0

TY 2013 Other Decreases Schedule

Name: THE ARIEL FOUNDATION

EIN: 27-0226408

Description	Amount
BOOK/TAX DIFFERENCE ON INVESTMENT INCOME	8,352

TY 2013 Other Expenses Schedule

Name: THE ARIEL FOUNDATION

EIN: 27-0226408

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER EXPENSES	6,896	0		6,896

TY 2013 Other Income Schedule

Name: THE ARIEL FOUNDATION

EIN: 27-0226408

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	1,209	1,209	1,209
U S REAL PROPERTY INCOME FUND, L P	7,458	7,458	7,458

TY 2013 Other Increases Schedule

Name: THE ARIEL FOUNDATION

EIN: 27-0226408

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	1,857,268

TY 2013 Other Professional Fees Schedule**Name:** THE ARIEL FOUNDATION**EIN:** 27-0226408

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	157,155	157,155		0
INVESTMENT FEES - U S REAL PROPERTY INCOME FUND, L P	6,949	6,949		0

TY 2013 Substantial Contributors Schedule

Name: THE ARIEL FOUNDATION

EIN: 27-0226408

Name	Address
ARIEL CORPORATION	35 BLACKJACK ROAD MOUNT VERNON, OH 43050
KAREN BUCHWALD WRIGHT	1240 GAMBIER ROAD MOUNT VERNON, OH 43050

TY 2013 Taxes Schedule**Name:** THE ARIEL FOUNDATION**EIN:** 27-0226408

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL	2,315	0		2,315
TAXES	27,220	0		0
FOREIGN TAXES	6,578	6,578		0